



ATTN: MAIL STOP MISSING PARTS

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Shinichi Konno et al.

Examiner: not yet assigned

Application No.: 10/563,111

Group Art Unit: 1773

Filed: January 3, 2006

Attorney Docket No: 12065-0041

For: POWDER FOR LOWER LAYER OF APPLICATION TYPE OF LAYERED  
MAGNETIC RECORDING MEDIUM AND MAGNETIC RECORDING MEDIUM  
USING THE SAME

REQUEST FOR CORRECTED FILING RECEIPT

**MAIL STOP MISSING PARTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

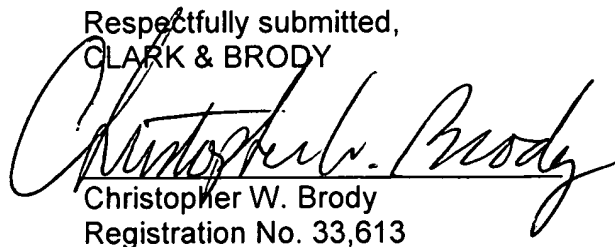
Please correct the attached Filing Receipt in order to fix a typographical error in the fifth inventor's name as follows:

"Toshihiko Ueyamaa" should read "Toshihiko Ueyama"

A marked up copy of the Filing Receipt is attached for your convenience, along with a copy of the executed Declaration & Power of Attorney showing the correct spelling.

Applicant submits that there is no fee required for this submission, however, please charge any fee deficiency or credit any overpayment to Deposit Account No. 50-1088.

Respectfully submitted,  
CLARK & BRODY



Christopher W. Brody  
Registration No. 33,613

**Customer No. 22902**

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Telephone: 202-835-1111  
Facsimile: 202-835-1755

Date: August 11, 2006



Page 1 of 3  
CWB  
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12065-0041

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/563,111	01/03/2006	1773	900	12065-0041		19	1

CONFIRMATION NO. 1124

22902  
CLARK & BRODY  
1090 VERMONT AVENUE, NW  
SUITE 250  
WASHINGTON, DC 20005

FILING RECEIPT



\*OC000000018541887\*

Date Mailed: 04/18/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shinichi Konno, Saitama-ken, JAPAN;  
Kenichi Inoue, Aomori-ken, JAPAN;  
Ken Inoue, Okayama-ken, JAPAN;  
Hiroshi Iihoshi, Saitama-ken, JAPAN;  
Toshihiko Ueyamaa, Tokyo, JAPAN;

*Ueyama*

Power of Attorney: The patent practitioners associated with Customer Number 22902.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/09693 07/01/2004

Foreign Applications

JAPAN 2003-192319 07/04/2003

If Required, Foreign Filing License Granted: 04/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/563,111**

*12065-0041*

Projected Publication Date: 07/27/2006

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Powder for lower layer of application type of layered magnetic recording medium and magnetic recording medium using the same

**Preliminary Class**

428

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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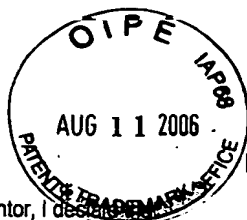
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## DECLARATION AND POWER OF ATTORNEY

Docket N

12065-004

As a below named inventor, I declare:

My residence, post office address, and citizenship are as stated below next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled:

~~MAGNETIC POWDER X MAGNETIC RECORDING MEDIUM USING THE MAGNETIC POWDER AND A METHOD OF SURFACE TREATING X MAGNETIC POWDER~~

POWDER FOR UNDERLAYER OF COATING-TYPE DOUBLE-LAYER MAGNETIC RECORDING MEDIUM  
☒ the specification of which is attached hereto; OR

AND MAGNETIC RECORDING MEDIUM USING THE SAME

☒ was filed as U.S. Patent Application No. \_\_\_\_\_ on \_\_\_\_\_ and (if applicable) was amended on \_\_\_\_\_

AND/OR was filed as International Application No. PCT/JP2004/009693 on 01 July 2004

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information of which I am aware and which is material to the examination of the patent application in accordance with 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designates at least one country other than the United States, listed below and have also identified below, by checking the space, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is not claimed.

## Prior Foreign Application(s)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED?
JP2003-192319	Japan	04 July 2003	Yes

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information known to me which is material to the patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

INTERNATIONAL APPLICATION NUMBER	FILING DATE	STATUS (Patented, Pending, Granted)
associated with Customer No. 22902, as his attorneys with full power or substitution to prosecute the subject application and to transact all business in the Patent and Trademark Office connected therewith. Send Correspondence to:		

**CLARK & BRODY, 1090 Vermont Avenue, NW, Suite 250, Washington, DC 20005; Telephone: 202-835-1111; Facsimile: 202-835-1755.**

I hereby declare that all statements made herein of my own knowledge are true and that all statement made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Shinichi KONNOInventor's signature: Shinichi KonnoDate: 07 December 2005Residence: Honjo-shi, Saitama-ken, JapanCitizenship: JapanesePost Office Address: c/o DOWA MINING CO., LTD., 8-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8282 JapanFull name of second joint inventor, if any: Kenichi INOUEInventor's signature: Kenichi InoueDate: 05 December 2005Residence: Hirosaki-shi, Aomori-ken, JapanCitizenship: JapanesePost Office Address: c/o DOWA MINING CO., LTD., 8-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8282 Japan☒ third and subsequent joint inventors are listed on second sheet

Full name of third joint inventor, if any: Ken INOUE

Inventor's signature: *Ken Inoue*

Date: 08 December 2005

Residence: Okayama-shi, Okayama-ken, Japan

Citizenship: Japanese

Post Office Address: c/o DOWA MINING CO., LTD., 8-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8282 Japan

Full name of fourth joint inventor, if any: Hiroshi IIHOSHI

Inventor's signature: *Hiroshi Iihoshi*

Date: 07 December 2005

Residence: Honjo-shi, Saitama-ken, Japan

Citizenship: Japanese

Post Office Address: c/o DOWA MINING CO., LTD., 8-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8282 Japan

Full name of fifth joint inventor, if any: Toshihiko UYEYAMA

Inventor's signature: *Toshihiko Ueyama*

Date: 08 December 2005

Residence: Okayama-shi, Okayama-ken, Japan

Citizenship: Japanese

Post Office Address: c/o DOWA MINING CO., LTD., 8-2, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8282 Japan

Full name of sixth joint inventor, if any:

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

Full name of seventh joint inventor, if any:

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

Full name of eighth joint inventor, if any:

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

COPY